What laws, regulations, and guidance you should follow once you’ve received a grant or sponsored award depends largely on where the funds originate and what is required by the grantor or awarding entity. The pyramid below shows a typical chain of authority with regards to which rules to follow.

OMB Circulars A-21, A-110, & A-133
(federal or federal "pass through" funds only)

State Statutes*

Agency Policy Manual or Program Guidelines (if applicable)

Terms and Conditions of Award Notice or Contract*

College Policies and Procedures*

Resource Development Handbook*

*These apply to any sponsored project awarded to the College, regardless of where the funding originates.

Please note that not all of these are applicable for every particular project. For example, OMB Circulars generally do not apply to your award if you do not have a grant or contract awarded by a Federal agency or awarded with Federal “pass through” funds (Federal funds awarded to a third party who then grants an award to the College). Non-Federal grants may not have a separate policy manual by the grantor, though this varies significantly.

It is important to remember that when comparing documents, you are required to follow the most restrictive regulation or guidelines imposed. For example, if a cost is allowed under the OMB Circular A-21, but the agency policy statement or the terms and conditions of your award expressly prohibit use of grant funds for that cost, then you must follow the most restrictive condition (meaning you couldn’t use grant funds for that expense). The only exception is if the funder explicitly notes that its policies overrule those of another.

Here’s some links to the most commonly used compliance documents:

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OMB Circulars

A-21: Cost Principles for Educational Institutions
http://www.whitehouse.gov/omb/circulars_a021_2004/
This circular offers a listing of expenses and items that are either allowable or unallowable using Federal grant funds. Be sure to also check if there are any agency or program specific restrictions on certain expenses.

A-110: Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations
http://www.whitehouse.gov/omb/circulars_a110/
This circular provides administrative requirements for management and administration of your Federal grant. Be sure to also check if there are any agency or program requirements in addition to those noted in the circular.

State of Florida Statutes  http://www.flsenate.gov/STATUTES/

Agency Policy Manual or Guidelines

Below are links to some of the most commonly referenced policy manuals and/or administrative guidelines (please note this list is by no means comprehensive):


U.S. Department of Education Department General Administrative Regulations (EDGAR)

National Science Foundation Award and Administration Guide

National Science Foundation Research Terms and Conditions
http://www.nsf.gov/awards/managing/rtc.jsp

Department of Health and Human Services Grants Policy Statement

National Endowment for the Arts General Terms and Conditions
http://www.nea.gov/manageaward/GTC.pdf

National Institutes of Health Grants Policy Statement

College Policies and Procedures

Located on College internal Web portal (my.daytonastate.edu) under Resources/Forms/Administration

Resource Development Guidelines

Grants Management Handbook located on internal Web portal (my.daytonastate.edu) under Resources/Forms/Resource Development/Grants Management

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